

PRINCIPLES OF ENVIRONMENTAL ENFORCEMENT

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UPDATING AND ENRICHING THIS TEXT

This text will be periodically updated to include new enforcement ideas and examples from countries around the world. Readers are encouraged to send comments and ideas for the next edition to:

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This text is one of three documents that form the basis of a training course on Principles of Environmental Enforcement. This course was prepared by the U.S. Environmental Protection Agency (U.S. EPA) in response to a request by Poland's Ministry of Environmental Protection, Natural Resources and Forestry. The text and course, however, are broadly designed for use by any level of government, in any culture.

The text was developed by the U.S. EPA in consultation with the Netherlands' Ministry of Housing, Physical Planning and Environment, the Polish Ministry of Environmental Protection, Natural Resources and Forestry, and the Katowice Ecology Department in Poland. The principal author of this text was Ms. Cheryl Wasserman, Chief of Compliance Policy and Planning Branch of the U.S. EPA's Office of Enforcement, with contributions from Mr. Jo Gerardu of the Netherlands Ministry of Housing, Physical Planning and Environment.

Much of this text draws upon articles prepared by the author for international audiences. Particularly important sources include the Proceedings of the first International Enforcement Workshop, held in Utrecht, the Netherlands, in May 1990, and case studies on enforcement prepared for the Organization for Economic Cooperation and Development. The text also benefitted from the comments of reviewers in Canada, Hungary, and Poland.

The training course enables participants to develop their own management approach to an environmental problem, to draft enforceable requirements where appropriate, and to design a unique compliance strategy and enforcement program. It also provides an opportunity to participate in a negotiation session to resolve a specific enforcement case. The training exercises were designed by Ms. Wasserman in consultation with a team from the U.S. EPA, including personnel from the Office of Enforcement; Office of Policy, Planning and Evaluation; and the Philadelphia regional office. In addition to Ms. Wasserman, Ms. Ann DeLong and Ms. Margaret Berger of the Office of Enforcement served as Project Managers for the development and implementation of the course. Mr. Tom Maslany, Director of the U.S. EPA's Air, Toxics and Radiation Division in the Philadelphia regional office, and the Division staff were the principal authors of the enforcement case study used in the training. Ms. Pam Stirling of the Office of Policy, Planning and Evaluation, and Ms. Amy Evans of the Office of International Activities, also were essential to course development. Additional optional case studies for the course will be developed by other EPA regions and the Netherlands Ministry. A team of future trainers from Poland provided invaluable feedback during the course development.

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