

## CONFERENCE PROGRAM

### November 14, 1998

09:00 - 17:00 Training of facilitators for workshops on the Principles of Environmental Compliance and Enforcement

### November 15, 1998

09:00 - 12:00 Preparation and training of facilitators for special topic workshops

13:00 - 14:30 Meeting of speakers and moderators to prepare for plenary sessions

15:00 - 16:00 Executive Planning Committee meeting

15:00 - 18:00 Registration (continued November 16, 1998 8:00-8:30)

**17:00 - 18:00 Conference Welcome Reception**

### November 16- 20, 1998

#### Exhibits

Exhibits were on view throughout the Conference

- About INECE: International Network for Environmental Compliance and Enforcement.
- International Organizations with Institution Building Support: included UNEP, UNDP, the World Bank and others.
- Regional Displays: included Networks, Institution Building Projects and Programs, Country Program Highlights.
- NGO corner: Citizen Role in Enforcement.
- INTERNET/Automated Systems Support for Environmental Compliance and Enforcement: Demonstrations and Instruction.
- Models for Calculating Recovery of Economic Benefit or Assessing Damages.
- Inspector/Police Training Materials and Monitoring Equipment.
- Video Displays: Videos for Compliance and Enforcement Communications and Training.
- Materials Supporting Special Topic Workshop Sessions.

### November 18, 1998

14:00 - 17:00 **Clinics/Exhibits**

Allotted open time to view exhibits and demonstrations in the exhibit area during Wednesday afternoon. Organized staffed "clinics" to respond to particular problems that individual countries or NGO's are facing for which they requested assistance where at their option, participants exchanged with others who have experience with similar problems and focus on developing potential approaches to resolve them.

## Day One November 16, 1998

**Day Chair** - Mr. Pieter Verkerk, Inspector General, VROM

8:30 - 8:40 **Welcome to Monterey:** Mr. William Nitze, Assistant Administrator, Office of International Activities, U.S. Environmental Protection Agency

8:40 - 9:15 **Opening Plenary: Overview and Vision**

Collaborative Session with Co-Chairs Mr. Steven Herman, Assistant Administrator for Enforcement and Compliance Assurance, USEPA and Mr. Pieter Verkerk, Inspector General, VROM and selected members of the INECE Executive Planning Committee

### THEME #1 MAKING IT HAPPEN: APPLYING THE PRINCIPLES OF ENVIRONMENTAL COMPLIANCE AND ENFORCEMENT

9:15-11:15 **Plenary Session - Panel Discussion:**

Moderator: Dr. Paul Leinster, Director, Environmental Protection Directorate, Environment Agency, United Kingdom

Speaker # 1: Mr. Yasser Sherif, Director, Egyptian Environmental Affairs Agency, Egypt  
 • *Launching Enforcement Programs Through Compliance Action Plans and Environmental Management Systems*

Speaker # 2: Justice Michael F. Saldanha, Karnataka High Court, India  
 • *Citizen and Judicial Activism for Institutional Reform*

Speaker # 3: Mr. Armando Shalders Neto, Director of Environmental Pollution Control, Companhia de Tecnologia de Saneamento Ambiental, Brazil  
 • *The Evolution of Compliance and Enforcement in Brazil*

Speaker # 4: Ms. Nancy Bircher, Director, Ministry of Environment, Lands and Parks, BC Canada  
 • *The Evolution of Pulp and Paper Mill Compliance in British Columbia*

11:15-12:00 *Principles of Environmental Enforcement* - Overview for New Participants & others

Speaker # 5: Ms. Cheryl Wasserman, Associate Director for Policy Analysis, Office of Enforcement and Compliance Assurance, USEPA

12:00-13:30 **Lunch**

13:30-18:00 **Theme # 1 Workshops**

In small groups, workshop participants used case studies to explore the principles of environmental compliance and enforcement. Participants chose their preferred case study subject matter.

1A Coal Burning/Sulfur Dioxide problems

1B Mining

1C Petrochemical/Refining

1D Deforestation

1E Residential and Industrial Waste disposal

1F Tourism

1G Transboundary Illegal shipments of Hazardous Waste, Toxic chemicals (Pesticides), contraband CFC

18:30-19:15 **Keynote Address** Ms. Carol M. Browner, Administrator, U.S. Environmental Protection Agency

19:30 **Dinner** hosted by Conference Sponsors

**Day Two**

**Day Chair** - Mr. Steven Herman, Assistant Administrator for Enforcement and Compliance Assurance, USEPA

**November 17, 1998**

**THEME #2 COMMUNICATIONS, PUBLIC ROLE, AND COMPLIANCE MONITORING**

**8:30-9:30 Plenary Session - Panel Discussion:**

- Moderator: Dr. Adegoke Adegoroye, Director General, FEPA
- Speaker # 6: Mr. Margana Koesoemadinata, Deputy Minister, BAPEDAL, Environmental Impact Management Agency, Indonesia
  - *Compliance Status As Public Information*
- Speaker # 7: Ms. Svitlana Kravchenko, President, Ecopravo, Ukraine
  - *Effective Citizen Enforcement*
- Speaker # 8: Mr. Nguyen Khac Kinh, Deputy General Director, National Environmental Agency, Vietnam
  - *Launching a New Program through a National Inspection Initiative*
- Speaker # 9: Ms. Elaine Stanley, Director, Office of Compliance, U.S. Environmental Protection Agency, USA
  - *Using Self Compliance Monitoring and Performance Data*

**9:30 - 12:30 Theme # 2 Workshops**

In small groups, workshop participants explored special topics dealing with Theme # 2: Communications and Compliance Monitoring with experts and among themselves. Participants chose preferred workshop topic.

- 2 A Communications and Enforcement.
- 2 B Encouraging Public Role in Compliance Monitoring and Impact of Public Access to Environmental Information/Community Right to Know Laws on Compliance and Enforcement Programs.
- 2 C Compliance Monitoring.
- 2 D Multi-Media (Integrated) Inspections and Permitting.
- 2 E Source Self-Compliance Monitoring Requirements.
- 2 F Detecting Hidden Operations Outside of Legal Frameworks.

**12:30 - 14:00 Lunch**

## THEME #3 "CARROTS AND STICKS"

14:00 - 15:00 **Plenary Session - Panel Discussion:**

- Moderator: Ms. Helena Čížková, International Project Coordinator, Ministry of the Environment of the Czech Republic, Czech Republic
- Speaker # 10: Mtro. Antonio Azuela, Federal Attorney for the Environment Protection, Mexico
- *Compliance Incentive Schemes: Harnessing Environmental Auditing, Environmental Management Systems (e.g., ISO14001 certification), and Their Relationship to Enforcement*
- Speaker # 11: Mr. Boguslaw Dabrowski, Head, Voivodeship Inspectorate for Environmental Protection, Poland
- *Compliance Plans: Creative Negotiations for Correction and Penalty*
- Speaker # 12: Ms. Connie Musgrove, Deputy Director, Office of Regulatory Enforcement, U.S. Environmental Protection Agency, USA
- *Policies to Resolve Enforcement Cases to Maximize Environmental Benefit, Pollution Prevention, and Recover Economic Benefit of Non-Compliance*
- Speaker # 13: Mr. Antonio Oposa, Jr., former public prosecutor, The Philippines
- *Using Coordinated Enforcement to Protect Forests From Illegal Logging in the Philippines*

15:00 - 18:00 **Theme # 3 Workshops**

In small groups, workshop participants explored special topics dealing with Theme # 3: "Carrots and Sticks" with experts and among themselves. Participants chose their preferred workshop topic.

- 3 A Structuring Incentives for Private Sector Compliance.
- 3 B Environmental Crimes and Criminal Enforcement.
- 3 C Citizen Enforcement.
- 3 D Structuring Financial Consequences in Enforcement: Penalty Policies, Recovery of Damages, Recovery of Economic Benefit of Non-Compliance.
- 3 E Role of Negotiation in Enforcement.
- 3 F Administrative Enforcement Mechanisms: Getting Authority and Making It Work.
- 3 G Compliance Schedules and Action Plans: Content, Enforceability and Use in Compliance and Enforcement.

**Day Three** Day Chair - Mr. Pieter Verkerk, Inspector General, VROM  
**November 18, 1998**

**THEME #4 CAPACITY BUILDING**

**8:30 - 9:30 Plenary Session - Panel Discussion:**

- Moderator: Ms. Michele de Nevers, Sector Leader, Europe and Central Asia, The World Bank
- Speaker # 14: Mr. Antonio González Pastora, Director, Central American Commission on Sustainable Development (CCAD)
  - *Organizing International Cooperation: Example*
- Speaker # 15: Mr. Ken Macken, Acting Manager, Environmental Protection Agency, Ireland
  - *Creative Financing/Multi-Media Permitting & Enforcement*
- Speaker # 16: Dr. George Asiamah, Senior Program Officer, EPA, Ghana
  - *Decentralized Management Systems*
- Speaker # 17: Mr. Christopher Currie, Chief, Enforcement Management Division, Environment Canada, Canada
  - *Training Programs*

**9:30 - 12:30 Theme # 4 Workshops**

In small groups, workshop participants explored special topics dealing with Theme # 4: Capacity Building with experts and among themselves. Participants chose their preferred workshop topic.

- 4 A Managing Centralized and Decentralized Programs; Achieving the Right Balance of Roles and Relationships for Key Functions; Accountability Measures, Compliance Indicators and Reporting.
- 4 B Budgeting and Financing Environmental Compliance and Enforcement Programs: How Much Enforcement is Enough.
- 4 C Training Programs for Compliance Inspectors, Investigators and Legal Personnel.
- 4 D Setting Up and Managing Compliance Assistance Programs and Information Outreach on Regulatory Requirements.
- 4 E The Science of Enforcement: Setting Up and Financing Laboratories; Ensuring the Integrity of Sampling and Data Analysis; Scientific Support for Enforcement.
- 4 F Government/Municipal/Military: Compliance and Enforcement Strategies.
- 4 G Small and Medium Enterprises Compliance and Enforcement Strategies.
- 4 H/I Mobile Source Compliance Strategies and Enforcement. Non-Point Source Compliance and Enforcement Strategies.
- 4 J Geographic or Resource-Based Compliance and Enforcement Strategies.

**12:30 - 14:00 Lunch**

13:00 - 13:30

**Luncheon Speaker:** Ms. Lois Schiffer, Assistant Attorney General for Lands and Natural Resources, USA

- *Relationship Between the Legal Arm of Government and the Line Environmental Agency or Ministry*

**14:00 - 17:00 Clinics/Exhibits**

**Day Four** Day Chair - Mr. Steven Herman, Assistant Administrator for Enforcement and Compliance Assurance, USEPA

**November 19, 1998**

**THEME #5 INTERNATIONAL COOPERATION/TRANSBOUNDARY COMPLIANCE AND ENFORCEMENT ISSUES**

8:30 - 9:30 **Plenary Session - Panel Discussion:**

- Moderator: Mr. Andreas Gallas, Director General, Federal Ministry of the Environment, Nature Conservation and Nuclear Safety, Germany
- Speaker # 18: Mr. Hongjun Zhang, Deputy Director, Legislative Office of Environmental Protection & Natural Resources, Conservation Committee, People's Republic of China  
• *Illegal Shipments: Country Example of Problem and Response*
- Speaker # 19: Mr. Lal Kurukulasuriya, Chief, Regional Environmental Law, Regional Office for Asia and the Pacific, UNEP  
• *Trends in International Environmental Law and its Enforcement*
- Speaker # 20: Dr. Kees Boekel, Deputy Regional Inspector, Inspectorate for the Environment- East, Ministry of Housing , Spatial Planning and the Environment, The Netherlands  
• *Enforcement of International Environmental Agreements, e.g., Hazardous Waste and Ozone Depleting Substances*
- Speaker # 21: Mr. Earl Devaney, Director, Office of Criminal Enforcement Forensics and Training, U.S. Environmental Protection Agency, USA  
• *Environmental Crimes/INTERPOL*

9:30 - 12:30 **Theme #5 Workshops**

In small groups, workshop participants explored special topics dealing with theme # 5: International Cooperation/ Transboundary with experts and among themselves. Participants chose their preferred workshop topic.

- 5 A Illegal Transboundary Shipment of (Hazardous) Waste.
- 5 B Compliance with International Environmental Agreements: Focusing on Montreal Protocol and CITES: Illegal Shipments of CFC and Other Ozone Depleting Substances and Illegal Trade in Endangered Species.
- 5 C Illegal Shipments of Dangerous Chemicals Including Pesticides.
- 5 D International Enforcement Cooperation to Protect Shared Resources and Prevent Transboundary Pollution.
- 5 E Collaborative Targeting of Enforcement on an International Scale.

12:30 - 14:00 **Lunch**

**THEME #6 BUILDING REGIONAL AND GLOBAL NETWORKS**

**14:00 - 15:45 Plenary Session - Panel Discussion:**

Regional enforcement networks are in various stages of development. Plenary sessions highlighted their status and accomplishments.

Moderator: Mr. Jan van den Heuvel, Director, General Policy Affairs, Ministry of Housing, Spatial Planning and the Environment, The Netherlands

**Europe Panel:**

Speaker # 22: Ms. Waltraud Petek  
• *IMPEL*

Speaker # 23: Ms. Ruta Baskyte  
• *ECA-INECE*

Speaker # 24: Dr. Ladislav Miko  
• *AC-IMPEL*

**Americas Panel:**

Speaker # 25: Ms. Linda Duncan  
• *North American Working Group/Commission on Environmental Cooperation*

Speaker # 26: Dr. Marco Antonio Gonzales Pastora  
• *CCAD*

Speaker # 27: Mr. Vincent Sweeney  
• *Caribbean Network*

Speaker # 28: Mr. Eric Dannenmeir  
• *OAS: Americas Hemispheric Network*

**Asia Panel:**

Speaker # 29: Mr. Lal Kurukulasuriya  
• *ASPA-INECE*

Speaker # 30: Dr. Aziz Abdul Rasol  
• *ASEAN*

Speaker # 31: Dr. Ananda Raj Joshi  
• *SACEP (South Asia Cooperative Environment Program)*

**Africa/Middle East Panel:**

Speaker # 32: Dr. Adegoke Adegoroye  
• *Africa Regional Networking Status Report*

Speaker # 33: Mr. Arne Dalfelt  
• *Africa - World Bank perspective*

Speaker # 34: Mr. Eugene Shannon  
• *Africa - Africa Development Bank perspective*

Speaker # 35: Mr. Yasser Sherif  
• *North Africa and West Asia/Middle East*

**15:45 -18:00 Regional Meetings**

Facilitated Discussion/ Simultaneous breakout sessions. These sessions continued in the morning of Day 5.

- 6 A Europe (Western Europe, Central and Eastern Europe, and NIS)
- 6 B Americas (North America, Central America, Caribbean, and South America)
- 6 C Asia (Asia Pacific and South Asia)
- 6 D Africa and West Asia/Middle East

**19:00 Informal Networking: Strolling Dinner at the Monterey Aquarium**

## Day Five

**Day Chairs** - Mr. Steven Herman, Assistant Administrator for Enforcement and Compliance Assurance, USEPA and Mr. Pieter Verkerk, Inspector General, VROM

**November 20, 1998**

### THEME #6 BUILDING REGIONAL AND GLOBAL NETWORKS (Continued)

8:30 - 12:30 **Regional Meetings** (Continued)

Facilitated Discussion / simultaneous breakout sessions continued from afternoon of Day 4.

- 6 A Europe (Western Europe, Central and Eastern Europe and NIS)
- 6 B Americas (North America, Central America, Caribbean and South America)
- 6 C Asia (Asia Pacific and South Asia)
- 6 D Africa and West Asia/Middle East

12:30 - 14:00 **Lunch**

14:00 - 16:00 **Plenary Session: Regional Meeting Reports**

Presentation of future directions for global and regional networks

Moderator: Mr. Jan van den Heuvel, Director, General Policy Affairs, Ministry of Housing, Spatial Planning and the Environment, The Netherlands

Speakers selected from the regional meetings presented reports of meeting outcomes.

- Speaker # 36: Ms. Susan Hay
  - *Europe*
- Speaker # 37: Dr. Marco Antonio Gonzales Pastora
  - *Americas*
- Speaker # 38: Mr. Yasser Sherif
  - *Africa and Middle East*
- Speaker # 39: Mr. Lal Kurukulasuriya
  - *Asia*

16:00 - 17:00 **Closing Plenary: CLOSING REMARKS**

Mr. Steven Herman, Assistant Administrator, US EPA  
Mr. Pieter Verkerk, Inspector General, VROM

Adjourn - Conference Evaluations Due

17:00 - 18:00 **Closing Reception**

**November 21, 1998**

Optional site visits arranged by US Environmental Protection Agency:

- A A state-of-the art regional sewage treatment plant and state-of-the-art solid waste management landfill and related compliance inspections.
- B Fort Ord, a former military installation that underwent environmental remediation and is now used as a junior college.
- C A US EPA regional laboratory that analyzes compliance samples.

