

SYNOPSIS OF UNEP MANUAL ON INSTITUTION BUILDING

Industry Environmental Compliance

A Training Manual

UNEP IE Technical Report No. 36

UNEP IE has produced a training manual to help governments develop their institutional ability to ensure industry compliance with their country's environmental standards. "Industry Environmental Compliance: A Training Manual" provides the context, examines different approaches, identifies critical elements of success and discusses options when resources are limited in developing an effective compliance and enforcement program. It promotes an integrated approach to help steer industry towards cleaner production options rather than end-of-pipe solutions to meet environmental requirements. It complements an earlier UNEP IE publication on "From Regulations to Industry Compliance: Building Institutional Capabilities" which prompted world-wide interest and requests for assistance to put its principles into practice.

The training manual consists of four separate modules. The first module on institutional aspects examines: why an effective compliance and enforcement program is important; its objectives and functions; its optimal balance between the inspection, permit and enforcement functions; the necessary interaction among government bodies, industry and the public; how to establish priorities and evaluate success; how to phase-in functions as resources become available. The second module focuses on permits and provides answers to such questions as: what is an environmental permit, its role, its content; who should be required to have a permit, who should issue it and what criteria should it be based upon; how to make the permit enforceable and what to do when laws or regulations are imprecise; what to do when resources are limited and how to introduce integrated permits. How to monitor and enforce compliance is covered in the third module. Three sections focus on industry self-monitoring, government inspections and enforcement, covering issues such as: who should be required to self-monitor; what, how and when; how to adopt an integrated approach to self monitoring; how to develop an inspection strategy and what guidance to provide to inspectors; how to convert to integrated inspections; how to develop an enforcement strategy with appropriate responses to different violations; what options exist when resources are limited. The fourth module deals with human and financial resources and examines the types of resources needed for different functions and funding options. The appendices include: a case study of a fictitious country providing a discussion of common problems governments encounter in each of these four areas; and a summary of the key points of each module in a form which can be easily photocopied onto overheads.

The training manual can be used for individual study or for group training in local, regional national or international settings. It is now available from UNEP IE. Requests for UNEP IE assistance in carrying out the training programs may also be considered.